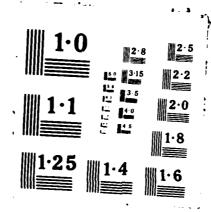
SOLID-STATE ELECTROCHEMICAL PROCESSES IN DYE FILMS(U)
ROCKMELL INTERNATIONAL ANAHEIM CA SCIENCE CENTER
H M NICHOLSON NOV 87 SC5384. FR N80814-77-C-8626
F/G 7/3 D-8188 756 UNCLASSIFIED



is executed consisted and executed and execute and execute and executed in the executed for any and the executed and the exec

# OFFICE OF NAVAL RESEARCH

FINAL REPORT

for

Contract N00014-77-C-0636

R&T Code 413d004

Solid-State Electrochemical Processes in Dye Films

M. M. Nicholson



Science Center
Rockwell International Corporation
Anaheim, CA

November 1987

Reproduction in whole or in part is permitted for any purpose of the United States Government.

This document has been approved for public release and sale; its distribution is unlimited.

	UNCLASSIFIED						
SECURITY CLASSIFICATION OF THIS PAGE							
	REPORT DOCUME	ENTATION PAGE	•				
1a. REPORT SECURITY CLASSIFICATION	1b. RESTRICTIVE MARKINGS						
Unclassified		3 DISTRIBUTION/AVAILABILITY OF REPORT					
2a. SECURITY CLASSIFICATION AUTHORITY		This document has been approved for public					
2b. DECLASSIFICATION/DOWNGRADING SCHEDULE		release and sale; its distribution is unlimited.					
4. PERFORMING ORGANIZATION REPORT NUMBER(S)		5. MONITORING ORGANIZATION REPORT NUMBER(S)					
SC5384.FR							
62 NAME OF PERFORMING ORGANIZATION Bb. OFFICE SYMBOL (If applicable)		78. NAME OF MONITORING ORGANIZATION					
Science Center	(1), opplicable,	Office of Naval Research					
6c. ADDRESS (City, State and ZIP Code)	76. ADDRESS (City,	7b. ADDRESS (City, State and ZIP Code)					
P.O. Box 3105	800 North Quincy						
Anaheim, California 92803		Arlington, VA 22217					
Be NAME OF FUNDING/SPONSORING	8b. OFFICE SYMBOL	0.0000000000000000000000000000000000000					
ORGANIZATION	(If applicable)	9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER					
		Contract N00014-77-C-0636					
8c ADDRESS (City, State and ZIP Code)		10 SOURCE OF FUNDING NOS.					
		PROGRAM ELEMENT NO.	PROJECT NO.	TASK NO.	WORK UNIT		
		20010011110	NO.	R&T Code	NO.		
11. TITLE (Include Security Classification) Solid-State				413d004			
Electrochemical Processes in			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
12. PERSONAL AUTHOR(S)							
M. M. Nicholson	<u> </u>	1 0.75 05 05 05	- W W B	1.5 0.00 00			
Final FROM 9/	14. DATE OF REPORT (Yr. Mo., Day) November 1987						
Final FROM 9/1/77 TO 8/31/87 November 1987 8							
17 COSATI CODES	18. SUBJECT TERMS (C	ontinue on reverse if ne	cessary and identi	ly by block number	,		
		ines, ion transport, electronic conduction, sm, solid polymer electrolytes					
<del>                                     </del>							
19. ABST PAST (Continue on reverse if necessary and identify by block number)							
To a continue on reverse if necessary and identify by block number)							
Research on solid state electrochemistry of lanthanide diphthalo-							
cyanine electrochromic films in the period 1977-1987 is summarized.							
Highlights include characteriztion of the ion-insertion processes							
with a novel solid-state moving-boundary technique, study of ambient-							
atmosphere effects on the anodic reactions, and investigation of							
solid polymer electrolytes for use in contact with diphthalocyanine electrode films. Future applications of these electrochromic							
systems in multicolor flat-panel information displays for military							
numbers and anticipated							
purposes are anticipated. (Karyiman							
20. DISTRIBUTION/AVAILABILITY OF ABSTRACT		21. ABSTRACT SECURITY CLASSIFICATION					
UNCLASSIFIED/UNLIMITED 🖾 SAME AS RPT.	Unclassified						
22s. NAME OF RESPONSIBLE INDIVIDUAL	226 TELEPHONE NO		22c. OFFICE SYME	30L			
Robert J. Nowak		(Include Area Co. (202) 696-		ONR-Code	. 1110		

DD FORM 1473, 83 APR

EDITION OF 1 JAN 73 IS OBSOLETE.



### SUMMARY

Multicolor electrochromism in lanthanide diphthalocyanine dye films was first reported by Soviet investigators. (10) Starting with that information, we demonstrated, under a prior Navy contract, a strong potentiality for using the lutetium complex as the switchable material in a new type of flat-panel information display. (11) Virtually nothing was known at that time of the electrode processes reponsible for these unusually fast, and cyclable, color changes. In 1977, we began a basic investigation of the electrochromism with coordinated sponsorship of the Office of Naval Research and the Air Force Office of Scientific Research. The Air Force program was concerned with reaction stoichiometries and kinetics at the interface between the dye films and liquid electrolytes. The ONR project, under the present contract, obtained information on processes occuring within the solid dye films and, at a later stage, sought to develop solid polymer electrolytes appropriate for interfacing with these materials in all-solid electrochromic cells.

The research began with the premise that the diphthalocyanine film must be conductive in all of its color states in order to support the high current densities and cycling characteristics observed. Since the initial green form was then assumed to be  $LnHPc_2$ , it was conjectured that proton transport might play an important role in the electrochromism.

We devised a new solid-state moving-boundary technique for investigating processes in the solid dye as it underwent various color transitions.  $^{(1)}$  This method provided, in effect, a slow-motion view of the electrode process. A red/green (or orange/green) reaction boundary was propagated in lutetium diphthalocyanine under constant anodic current. From the boundary velocity and the electric field in the oxidized phase, the carrier mobility and the number of electrons transferred per molecule of dye were determined. A related study by a radio tracer method showed that the anodic reaction occurred by the insertion of anions into the dye, rather than by loss of protons.  $^{(12)}$  With a chloride or sulfate electrolyte, the oxidation product was a solid anion conductor with a relatively high mobility of  $4\times10^{-6}~{\rm cm}^2/{\rm V-sec}$  and an estimated bulk resistivity of 1,600 ohm-cm at room temperature.  $^{(1)}$ 

A corresponding study of the cathodic electrochromism showed that cations were inserted during the faradaic reductions producing in blue or violet colors.  $^{(3)}$  Light blue products formed with neutral aqueous or organic solutions of alkali metals contacting the dye, while a dark violet product formed with acidic solutions. The dark violet phase had a hydrogen-ion mobility of  $8\times10^{-7}$  cm<sup>2</sup>/V-sec and a bulk resistivity of approximately 1,800 ohm-cm.

M



It was found that the gaseous environment could have a pronounced effect on the anodic reactions of lutetium diphthalocyanine. (2) Water vapor was necessary to propagate the red/green boundary from aqueous electrolytes, and oxygen was essential in some instances with sulfate as the counter ion. The latter observation suggested that the diphthalocyanines may bind oxygen reversibly, thereby acquiring greater conductivity. Although the solid-state conduction was mostly ionic under sustained current flow, different behavior was observed immediately after reversal of the boundary-propagating current. (7) The films then gave evidence of electronic conduction due to mixed oxidation states. The onset of such conduction may account for a complication encountered in switching electrochromic display films, where direct conversion from blue to green can be difficult.

The display application requires an electrolyte of relatively high conductivity. Solid ionic conductors are desired, and organic polymer electrolytes generally have physical properties compatible with the dye. However, it was considered important to augment the conductivity of candidate polymer systems by incorporating a solvent component, or plasticizer. Toward that objective, we investigated the influence of water on the conductivity of solid poly(ethylene oxide) (PEO)-lithium tetrafluoroborate films. (6) Conductivity Conductivities as high as  $3 \times 10^{-3}$  ohm<sup>-1</sup>cm<sup>-1</sup> were attained, but water in excess of the composition LiBF4.3H2O proved to be unstably absorbed and was lost spontaneously under constant water vapor pressure, with a drop in conductivity to  $\sim 2 \times 10^{-5}$  ohm<sup>-1</sup>cm<sup>-1</sup>. The investigation of solid, or semisolid, polymer electrolytes was then extended to PEO-salt films plasticized with propylene glycol  $(PG)^{(8)}$  and solvent-swollen electrolyte films containing a polymer of 2-acrylamido-2-methylpropanesulfonic acid (AMPS). (13) LiBF<sub>4</sub>-PG system also exhibited spontaneous loss of the plasticizer, but when KCl was present in addition to LiBF4, the loss was prevented, and a conductivity of at least  $4 \times 10^{-5}$ ohm1cm-1 was observed. When high-molecular-weight PEO-salt-PG materials were used with lutetium diphthalocyanine, the color changes were slow and nonuniform due to high interfacial resistance. However, semisolid AMPS type cells containing both water and PG, with some added HCl, showed rapid, uniform color changes and maintained interfacial contact at temperatures from -5 to 40°C. Further work on diphthalocyanine/solid electrolyte cells is desirable.

This research has led to related Rockwell projects with ONR on diphthalocyanine electrochromic display characteristics  $^{(14)}$  and to a transition of this technology into exploratory development under a larger current ONR program.  $^{(15)}$  It has also stimulated basic research and display development activities in several other countries. The science and technology of the lanthanide diphthalocyanine electrochromics are discussed in

SC5384.FR



three invited papers generated under this contract. (4,5,9) U.S. Navy applications ranging from cockpit displays to large-screen shipboard and command and control displays are possibilities for the future.



# REFERENCES

- 1-9. Listed under Journal Articles, Contract N00014-77-C-0636.
- 10. P. N. Moskalev and I. S. Kirin, "Effect of the Electrode Potential on the Absorption Spectrum of a Rare-Earth Diphthalocyanine Layer," Opt. i Spektrosk., 29, 414 (1970).
- 11. M. M. Nicholson and R. V. Galiardi, "Investigation of Lutetium Diphthalocyanine as an Electrochromic Display Material", Final Report, Contract N62269-76-C-0574, C77-215/501, NADC-76283-30, May 1977, Electronics Research Center, Rockwell International, Anaheim, California.
- 12. AFOSR Contracts F49620-77-C-0074, F49620-79-C-0104, and F49620-80-C-0060.
- 13. F. A. Pizzarello and M. M. Nicholson, "Electrochromic Cells with Lutetium Diphthalocyanine and Semisolid Polymer Electrolytes," Technical Report No. 9, Contract N00014-77-C-0636, November 1987.
- 14. ONR Contracts N00014-79-C-0434 and N00014-81-C-0264.
- 15. ONR Contract N00014-85-C-0415.



# JOURNAL ARTICLES

# Contract N00014-77-C-0636

# Reference in This Report

- 1. M. M. Nicholson and F. A. Pizzarello, "Charge Transport in Oxidation Product of Lutetium Diphthalocyanine," J. Electrochem. Soc., 126, 1490 (1979).
- 2. M. M. Nicholson and F. A. Pizzarello, "Effects of the Gaseous Environment on Propagation of Anodic Reaction Boundaries in Lutetium Diphthalocyanine Films," J. Electrochem. Soc., 127, 2617 (1980).
- 3. M. M. Nicholson and F. A. Pizzarello, "Cathodic Electrochromism of Lutetium Diphthalocyanine," J. Electrochem. Soc., 128, 1740 (1981).
- 4. M. M. Nicholson, "Lanthanide Diphthalocyanines. Electrochemistry and Display Applications," Ind. Eng. Chem. Prod. R&D, 21, 261 (1982).
- 5. M. M. Nicholson and T. P. Weismuller, "Multicolor Electrochromic Display Technology," Proc. IEEE 1983 National Aerospace and Electronics Conf., Vol. 1, pg. 368 (1983).
- 6. M. M.Nicholson and T. P. Weismuller, "Water Absorption and Conductivity in Poly(ethylene oxide)-Lithium Tetrafluoroborate Films," J. Electrochem. Soc., 132, 89 (1985).
- 7. M. M. Nicholson and T. P. Weismuller, "Evidence of Electronic Conduction Due to Mixed Oxidation States in Lutetium Diphthalocyanine Films," submitted to J. Electrochem. Soc.
- 8. M. M. Nicholson and F. A. Pizzarello, "Vapor Absorption and Conductivity in Poly(ethylene Oxide)-Salt-Propylene Glycol Films," Prepared for publication in J. Electrochem. Soc.
- 9. M. M. Nicholson, "Electrochromism and Display Devices," Chapter in A. B. P. Lever and C. C. Leznoff, "Phthalocyanines--Properties and Applications," VCH Publishers, Inc. (U.S.A.). In preparation.



# PERSONNEL PARTICIPATING IN THE RESEARCH

Principal Investigator:

M. M. Nicholson

Associate Investigators:

F. A. Pizzarello

T. P. Weismuller



# FINAL REPORT DISTRIBUTION

# Contract N00014-77-C-0636

	No. <u>Copies</u>
Office of Naval Research Department of the Navy 800 North Quincy Street Arlington, VA 22217	
ATTEN: Dr. Robert J. Nowak Code 1113 ATTEN: Code 1021P	3 6
Office of Naval Research Branch Office-Pasadena 1030 E. Green Street Pasadena, CA 91106	1
Mr. Joseph Terek Washington, D.C. 20505	1
DCASMA-Van Nuys 6230 Van Nuys Van Nuys, CA 91401	3
Director, Naval Research Laboratory Washington, D.C. 20375 ATTEN: Code 2627	6
Defense Technical Information Center Cameron Station	12

# E/MED

MARCH, 1988

DTIC